

Introductory sub-table (I)(a)

Name of the Company: SWARAJ AUTOMOTIVES LIMITED			
Scrip Code, Name of the scrip, class of security: DSE 3596 EQUITY			
Quarter ended: 30th September, 2014			
Partly paid-up shares:-		As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-			
No. of outstanding securities		As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-			
No. of warrants		As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
2397713			



**Statement Showing Shareholding Pattern**

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
1	<b>Indian</b>				0.00	0.00	0	0.00
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	1778655	1778655	74.18	74.18	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
(e-ii)								
	<b>Sub Total(A)(1)</b>	2	1778655	1778655	74.18	74.18	0	0.00
2	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i								
	<b>Sub Total(A)(2)</b>	0	0	0	0.00	0.00	0	0.00
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	2	1778655	1778655	74.18	74.18	0	0.00
(B)	<b>Public shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	2	194865	194865	8.13	8.13	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	6	40220	40220	1.68	1.68	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	1	204132	204132	8.51	8.51	0	0.00
(h-i)	Foreign Body Corporate							
(h-ii)		9	439217	439217	18.32	18.32	0	0.00
	<b>Sub-Total (B)(1)</b>	9	439217	439217	18.32	18.32	0	0.00
B 2	<b>Non-institutions</b>							
(a)	Bodies Corporate	5	95250	256	3.97	3.97	0	0.00
(b)	Individuals							
i	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	678	59591	20925	2.49	2.49	0	0.00
ii	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	1	25000	25000	1.04	1.04	0	0.00
(c)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(c-i)	Trust & Foundation	0	0	0	0.00	0.00	0	0.00
(c-ii)	NRIs	684	179841	46181	7.50	7.50	0	0.00
	<b>Sub-Total (B)(2)</b>	684	179841	46181	7.50	7.50	0	0.00
(B)	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	693	619058	485398	25.82	25.82	0	0.00
	<b>TOTAL (A)+(B)</b>	695	2397713	2264053	100.00	100.00	0	0.00
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
2	Public	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (C)</b>	0	0	0	0.00	0.00	0	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	695	2397713	2264053	100.00	100.00	0	0.00

Registrar of Companies  
 Andhra Pradesh  
 Hyderabad  
 500030

Sr.No	Notes
1	NIL
2	
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9	



(1)(b) Statement showing holding of securities (including shares) in the category "Promoter and Promoter Group"

Sr. No	Name of the shareholder	Details of Shares held			Encumbered shares (*)		Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class		
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	
1	Mahindra & Mahindra Ltd	1706925	71.19	0	0.00	0.00	0	0	0	0	0	0
2	Punjab State Indl Dev Corpn	71730	2.99	0	0.00	0.00	0	0	0	0	0	0
3												
4												
	<b>TOTAL</b>	<b>1778655</b>	<b>74.18</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CDC-PTL Holdings Ltd.	204132	8.51	0	0	0	0	0
2	ICICI Bank Ltd.	106389	4.44	0	0	0	0	0
3	Punjab Wireless Systems Ltd	90000	3.75	0	0	0	0	0
4	IDBI Ltd.	88476	3.69	0	0	0	0	0
5	HEMA RAJENDRABHAI GANDHI	25000	1.04	0	0	0	0	0
<b>TOTAL</b>		<b>513997</b>	<b>21.44</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

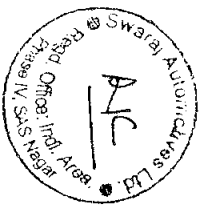


**D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CDC-PTL Holdigns Ltd	204132	8.51	0	0	0	0	0
2								
3								
<b>TOTAL</b>		<b>204132</b>	<b>8.51</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1	NIL	NIL	NIL
2			
3			
4			
5			
6			
7			
8			
9			
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>



Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	NIL
2				
3				
4				
5				
6				
7				
8				
9				
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>





